

## Let's see if we can lend...

Details requested are essential to provide us with a thorough overview of the proposal and **ALL** questions must be answered to prevent a delay in processing.

### 1. Broker details & FCA permissions

All introducers involved in the transaction must be listed

Shawbrook Broker Partner name	Broker fee amount (if applicable) (£ or %)
Name of firm	Contact name
Telephone	Email
FCA permissions Please confirm you provided the advice    Yes <input type="checkbox"/> No <input type="checkbox"/>	FCA number

#### Other introducer details

Name of firm	Contact name
Telephone	Email
FCA permissions Please confirm you provided the advice    Yes <input type="checkbox"/> No <input type="checkbox"/>	FCA number

### 2. Applicant source

Please confirm where the lead was generated from

Introducer from own panel <input type="checkbox"/>	Direct applicant recommended by existing customer <input type="checkbox"/>	Direct applicant from own marketing campaign <input type="checkbox"/>
Shawbrook referred introducer <input type="checkbox"/>	Introducer from a network/affinity group <input type="checkbox"/>	Direct applicant referred by Shawbrook <input type="checkbox"/>

### 3. Existing Shawbrook customers

Please confirm if the customer has another product with Shawbrook

**We are pleased to offer a 0.25% discount on the margin OR a 0.25% reduction on the arrangement fee on loans up to £750,000, for any clients who have been party to a Shawbrook savings account, open for 6 months or more with a £100+ balance, or a formally sanctioned Shawbrook loan**

Asset finance <input type="checkbox"/>	Business credit <input type="checkbox"/>	Commercial mortgages <input type="checkbox"/>	Account number(s)
Secured loan <input type="checkbox"/>	Savings account <input type="checkbox"/>	Consumer loan <input type="checkbox"/>	Loans up to £750,000: Discount is to apply to:
			Margin <input type="checkbox"/> Arrangement fee <input type="checkbox"/>

### 4. Loan details

Application type	Individual/Partnership <input type="checkbox"/>	LLP <input type="checkbox"/>	UK Limited Company <input type="checkbox"/>
Loan amount £	Auction purchase? Tick if yes <input type="checkbox"/>		
LTV requested	%	Target completion date	

Product	<input type="checkbox"/> STL1 (resi) <input type="checkbox"/> STL2 (mixed use) <input type="checkbox"/> STL3 (commercial) <input type="checkbox"/> HR1 (resi heavy refurb) <input type="checkbox"/> HR2 (commercial & mixed use heavy)
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**STL1/2 Only** Are you applying for additional funding of up to 100% of the build cost with the Light Refurbishment Loan? Yes  No

**Please tick to confirm it is your understanding that the applicant has sufficient relevant experience to meet our requirements**

Loan term	months	Loan type	<input type="checkbox"/> Purchase <input type="checkbox"/> Remortgage
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Purpose of loan

Repayment type  Interest serviced  Retained for term  Part retained  If part retained, number of months retained?

Please confirm that as far as you are aware this application is unregulated and the applicant's/ applicants' family have no intention to live in the security property Yes  No

## 5. Exit strategy

Hold as investment and refinance with BTL/term lender <input type="checkbox"/>	Sale of property/properties <input type="checkbox"/>
Development finance <input type="checkbox"/>	Switch to Shawbrook term loan <input type="checkbox"/>
Other <input type="checkbox"/> Please give details	

## 6. Applicant information

Limited company name	Company number	UK incorporated? Yes <input type="checkbox"/> No <input type="checkbox"/>
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Limited company trading address	Limited company net worth £
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Information	Borrower/guarantor 1	Borrower /guarantor 2
Title		
Surname		
Forename(s) (including any middle names)		
Date of birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Nationality		
NI Number		
Country of birth		
Permanent residential address/ correspondence address for company		

Time at this address	years      months	years      months
Previous address if this is less than 3 years		
Does the applicant have a residential mortgage on their home?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>
If yes, amount outstanding	£	£
Monthly payment	£	£
Preferred contact number		
Personal annual income (outside of rental stated)	£	£
Personal net worth	£	£
The applicant's personal income is sufficient to support their personal expenditure	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>
Have you ever been convicted of a criminal offence?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>

**If you answered yes to the above, please provide a full explanation below including what it was in relation to, when it occurred, and whether the situation has now been fully resolved.**

Details:

## 7. Security information

Property address

Estimated value £

Purchase price/price paid £

If remortgage    Unencumbered     Mortgaged     please confirm outstanding balance £

Security Type    Residential     Semi-commercial     Commercial

Tenure    Freehold     Leasehold     please confirm number of years left on the lease    years

Property description (e.g. house, flat)

Is the property currently tenanted    Yes     No     Please confirm rental income £

Are works being completed on the property    Yes     No

If yes, please note it is important that you provide a copy of the schedule of works to Appraisers after valuation is instructed to avoid delays later in the process.

If yes, please confirm the expected cost of these works £

If yes, please confirm the estimated after works value or GDV?

If yes, please confirm the after works rental income £

Please confirm the nature of the works

## 8. Additional security information

Property address

Security Type    Residential     Semi-commercial     Commercial     Estimated value £

Charge offered    1st Charge     Subsequent charge     Outstanding 1st charge balance £

If remortgage    Unencumbered     Mortgaged     please confirm outstanding balance £

Property description (e.g. house, flat)

## 9. Exceptions

We accept that clients can't always tick all the boxes, therefore if this case is outside any of our published criteria please outline the mitigating factors here to ensure it is given the correct consideration.

## 10. Data protection checklist

**Must be fully completed before we can complete any searches. Please complete all items on this checklist.**

Please confirm who read Shawbrook's privacy script to the applicant(s): Shawbrook broker  Other introducer

If the script was read by 'Other introducer', the Shawbrook broker partner must countersign below and by doing so will be confirming that: (a) the 'Other introducer' is known to them; and (b) the 'Other introducer' has read Shawbrook's most recent privacy script (as found on the Shawbrook Broker Hub).

I have read the most recent Shawbrook privacy script (as found on the Shawbrook Broker Hub), and which contains details about Shawbrook's privacy notice, credit reference agency searches and the C-R-A-I-N to the applicant(s), and they have confirmed that they wish to proceed with the application.

Date and time script read to the applicant(s)	If by telephone, was this recorded? Yes <input type="checkbox"/> No <input type="checkbox"/>
<b>Broker partner signature</b>	<b>Other introducer signature</b>
Print Shawbrook broker name	Print other introducer name
Date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

## 11. Solicitors details

Please tick if you would like our Solicitors to send their standard requisitions and a formal undertaking letter to the applicant's solicitors for completion. Please note, legal work will not begin until we are in receipt of a signed undertaking letter

Name of firm	Contact number
Contact name	Email address
Address	

**Please note: Until the data protection checklist is fully completed and signed, we cannot undertake any credit searches.**